

**PATENT APPLICATION**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Application of:

Joseph M. Joy et al.

Serial Number: 09/769,438

Filed: January 26, 2001

) Group Art Unit: 2661

) Examiner: TBA

) Attorney Docket No. 03797.86780

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For: METHOD AND APPARATUS FOR EMULATING ETHERNET  
FUNCTIONALITY OVER A SERIAL BUS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner and a copy of each of the identified documents. These documents were cited by the International Searching Authority for International Application No. PCT/US00/24469 on July 27, 2001. A copy of the search report is also enclosed.

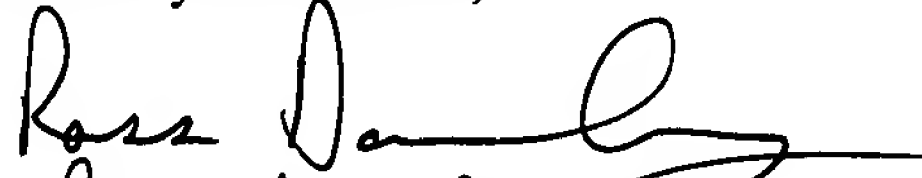
Consideration of this information is respectfully requested.

**Information Disclosure Statement**

Serial No. 09/769,438

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

  
Reg. No. P49,024

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Date: August 23, 2001

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/769,438

Filing Date January 26, 2001

First Named Inventor Joseph M. Joy

Group Art Unit 2661

Examiner Name TBD

Total Number of Pages in This Submission

Attorney Docket Number 03797.86780

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
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(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
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Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Bradley C. Wright, Reg. No. 38,061

Signature

by *Ross D. [Signature]* Reg. No. P 49,024

Date

August 23, 2001

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